



Rev. 04/01

#3 S, HOOVER 9/05/01

Docket No. UV/1 Cont. 2

2611  
d/p

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Applicants : Lemmons et al.

SEP 04 2001

Application No.: 09/770,865 Confirmation No.: 7787

Technology Center 2600

Filed : January 26, 2001

For : INTERACTIVE PROGRAM GUIDE SYSTEMS  
AND PROCESSES

Anticipated  
Group Art Unit : 2611

Anticipated  
Examiner : Chris Grant

New York, New York  
August 28, 2001

Hon. Commissioner  
for Patents  
Washington, D.C. 20231

TRANSMITTAL LETTER FOR  
INFORMATION DISCLOSURE STATEMENT

Sir:

Transmitted herewith is an Information Disclosure Statement and an accompanying PTO-1449 form (submitted in duplicate) for the above-identified application. This Statement is submitted:

within three months of the application filing date;

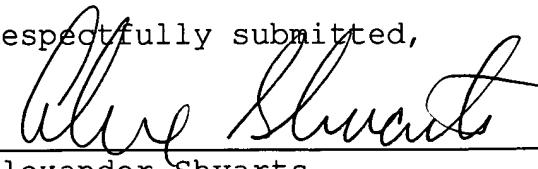
more than three months from the application filing date but before the mailing date of the first Office Action on the merits.

In accordance with 37 C.F.R. § 1.97, submission of this Statement requires no fee. However, if for any reason a fee is due, the Director is hereby authorized to charge payment of any fees required in connection with this

Information Disclosure Statement to Deposit Account

No. 06-1075. A duplicate copy of this letter is transmitted herewith.

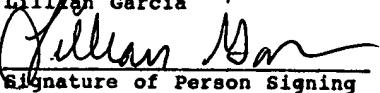
Respectfully submitted,

  
Alexander Shvarts  
Alexander Shvarts

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Agent for Applicants

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August 28, 2001  
Lillian Garcia  
  
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UV/1 Cont. 2

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Examiner : Chris Grant

Hon. Commissioner for Patents  
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97, applicants  
wish to call the attention of the Examiner to the following  
documents:

U.S. Patents

Templin et al.	4,375,651	03/01/83
Lambert	4,381,522	04/26/83
Cichelli et al.	4,429,385	01/31/84
Krüger et al.	4,488,179	12/11/84
Beyers, Jr.	4,641,205	02/03/87
Young	4,706,121	11/10/87
Köhler	4,712,105	12/08/87
Reiter et al.	4,751,578	06/14/88
Kram et al.	4,754,326	06/28/88
Rumbolt et al.	4,841,368	06/20/89
Levine	4,908,713	03/13/90
Levine	4,963,994	10/16/90
Young	4,977,455	12/11/90
Baji et al.	5,027,400	06/25/91
Strubbe et al.	5,047,867	09/10/91

Yee et al.	5,210,611	05/11/93
Vogel	5,253,066	10/12/93
Young et al.	5,353,121	10/04/94
Remillard	5,404,393	04/04/95
Graves et al.	5,410,344	04/25/95
Hoarty	5,412,720	05/02/95
Sakuma et al.	5,416,508	05/16/95
Ohga et al.	5,465,385	11/07/95
Young et al.	5,479,268	12/26/95
Cherrick et al.	5,528,304	06/18/96
Brian et al.	5,548,345	08/20/96
Alten et al.	5,781,246	07/14/98

Foreign Patent Documents

Germany	23 38 380	02/11/75
Japan	59-141878	08/14/84
Japan	60-61935	04/09/85
Germany	33 37 204	04/25/85
PCT	WO 90/07844	07/12/90
GB	2 232 031	11/28/90
GB	2 264 409	08/25/93
PCT	WO 94/13107	06/09/94
PCT	WO 95/01056	01/05/95
PCT	WO 95/32583	11/30/95
PCT	WO 95/32585	11/30/95
PCT	WO 96/17473	06/06/96

Other Documents

Arthur Heller, "VPS a New System for Domestic VCR Start/Stop By Programme Labels Transmitted Within the Insertion Data Line," Symposium Record, Broadcast Sessions, 14th International TV Symposium, Montreux, Switzerland, June 6-12, 1985, pp. 345-51.

Arthur Heller, "VPS – Ein Neues System Zur Beitragsgesteuerten Programmaufzeichnung," Rundfunktechnische Mitteilungen, July-Aug. 1985, pp. 161-69.

Vito Brugliera, "Digital On-Screen Display: A New Technology for the Consumer Interface," Symposium Record, Cable Sessions, 18th International Television Symposium and Technical Exhibition, Montreux, Switzerland, June 10-15, 1993, pp. 571-86.

These references which are listed on the accompanying form PTO-1449 (submitted in duplicate), were either cited by

or submitted to the Patent Office in application Serial No. 08/419,077, filed April 6, 1995, now U.S. Patent No. 5,880,768, or in application Serial No. 09/189,332, filed November 9, 1998, now U.S. Patent No. 6,266,814, from which the present application claims priority under 35 U.S.C. § 120. Accordingly, pursuant to 37 C.F.R. § 1.98(d), no copies of these references accompany this Information Disclosure Statement.

Consideration of the foregoing in relation to this patent application is respectfully requested.

I Hereby Certify that this Correspondence is being Deposited with the U.S. Postal Service as First Class Mail in an Envelope Addressed to:  
COMMISSIONER FOR  
PATENTS  
WASHINGTON, D.C. 20231 on

August 28, 2001  
Lillian Garcia

Lillian Garcia  
Signature of Person Signing

Alexander Shvarts  
Alexander Shvarts  
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FORM PTO-1449 <i>O I P E</i> <i>AUG 30 2001</i> <i>PATENT &amp; TRADEMARK OFFICE</i>	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  INFORMATION DISCLOSURE STATEMENT BY APPLICANTS	ATTY. DOCKET NO. UV-1 Cont. 2	APPLN. NO. 09/770,865
		APPLICANTS Lemmons et al.	CONF. NO. 7787
		FILING DATE January 26, 2001	GROUP 2611

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,375,651	03/01/83	Templin et al.	358	191.1	
	4,381,522	04/26/83	Lambert	358	86	<i>RECEIVED</i>
	4,429,385	01/31/84	Cichelli et al.	370	92	
	4,488,179	12/11/84	Krüger et al.	358	181	SEP 04 2001
	4,641,205	02/03/87	Beyers, Jr.	360	33.1	<i>Technology Center 2600</i>
	4,706,121	11/10/87	Young	358	142	
	4,712,105	12/08/87	Köhler	340	825.69	
	4,751,578	06/14/88	Reiter et al.	358	183	
	4,754,326	06/28/88	Kram et al.	364	900	
	4,841,368	06/20/89	Rumbolt et al.	358	194.1	
	4,908,713	03/13/90	Levine	358	335	
	4,963,994	10/16/90	Levine	358	335	
	4,977,455	12/11/90	Young	358	142	
	5,027,400	06/25/91	Baji et al.	380	20	
	5,047,867	09/10/91	Strubbe et al.	358	335	
	5,210,611	05/11/93	Yee et al.	358	191.1	
	5,253,066	10/12/93	Vogel	358	188	
	5,353,121	10/04/94	Young et al.	348	593	
	5,404,393	04/04/95	Remillard	379	96	
	5,410,344	04/25/95	Graves et al.	348	1	09/22/93
	5,412,720	05/02/95	Hoarty	380	15	05/01/92
	5,416,508	05/16/95	Sakuma et al.	348	7	07/21/94
	5,465,385	11/07/95	Ohga et al.	455	6.1	02/23/94
	5,479,268	12/26/95	Young et al.	358	335	02/18/94
	5,528,304	06/18/96	Cherrick et al.	348	565	07/22/94
	5,548,345	08/20/96	Brian et al.	348	734	01/05/95
	5,781,246	07/14/98	Alten et al.	348	569	05/20/94

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. UV-1 Cont. 2	APPLN. NO. 09/770,865
<i>O I P E</i> <i>SEARCHED</i> <i>AUG 30 2001</i> <i>USPTO</i>	INFORMATION DISCLOSURE STATEMENT BY APPLICANTS	APPLICANTS Lemmons et al.	CONF. NO. 7787
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## FOREIGN PATENT DOCUMENTS

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	INFORMATION DISCLOSURE STATEMENT BY APPLICANTS	APPLICANTS Lemmons et al.	CONF. NO. 7787
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## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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	Arthur Heller, "VPS a New System for Domestic VCR Start/Stop By Programme Labels Transmitted Within the Insertion Data Line," Symposium Record, Broadcast Sessions, 14th International TV Symposium, Montreux, Switzerland, June 6-12, 1985, pp. 345-51.
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